

**DOCUMENTS FOR TRANSMISSION OR TRANSMISSION & DUPLICATION OF SHARES OF
HELD IN A SINGLE NAME WITH NOMINEE**

1. Duly signed transmission request form/ application by nominee with full name and address;
2. Original Share Certificates;
3. Original or copy of Death Certificate of the deceased member duly attested by a Notary Public or by a Gazetted Officer;
4. Bank verification form for attestation of the signature of the applicant(s) duly attested by the Bank official with Bank's Official Rubber Stamp giving full Address of the Bank and the Employee Code number of the official signing the form;
5. Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100 where the signature of the seller(s) or the buyer(s) differs from that affixed in the PAN card.
6. Any document evidencing the appointment of the applicant as the Nominee by the deceased shareholder duly attested by the Notary Public;
7. self-attested copy of PAN Card of the nominee (For Sikkim residents, copy of PAN Card may be substituted with ID proofs along with address proof);
8. a self-attested copy of the address proof of the nominee;
9. In case Original Share Certificates are lost or misplaced, following additional documents are to be provided:
 - Duly notarised Indemnity Bond on a non-judicial stamp paper of Rs. 500/- for Maharashtra State and Rs. 300/- for States other than Maharashtra. .
 - Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100;
 - a copy FIR/ acknowledged copy of Police complaint lodged with the nearest police station for loss of shares;
 - wherein the value of lost/ misplaced share certificates exceeds Rs. 20000/- as per the current market price on the BSE website or the applicant doesn't submit a copy of FIR/ acknowledged copy of Police complaint lodged with the nearest Police station for loss of shares, a copy of advertisement/ public notice issued in the national daily and local newspaper, cost of which is to be borne by the nominee;

[In case the value of lost/ misplaced shares is less than Rs. 20000/- as per the current market price on the BSE website, the publication of the notice shall be at the option of the nominee]

- In accordance with Clause 3(i) of the Articles of Association of the Company, the applicant is required to deposit Rs. 20 with the Company for every new certificate issued by the Company in lieu of any share certificate which has been worn out, defaced, mutilated or torn or if there be no further space on the back for endorsement of transfer, lost or destroyed.

Attestation by the Bank Manager should bear the name, official stamp along with the full address of the Bank. Attestation by the Notary Public/ Gazetted officer should mention their name, full address, registration number and affix their seal.

DOCUMENTS FOR TRANSMISSION OR TRANSMISSION & DUPLICATION OF SHARES OF VALUE UPTO INR 200000/- HELD IN A SINGLE NAME WITHOUT NOMINEE

1. Duly signed application to the Company by the person in whose favour shares has to be transmitted with full name and address;
2. Original or copy of Death Certificate of the deceased member duly attested by a Notary Public or by a Gazetted Officer;
3. Original Share Certificates;
4. Probate of Will OR Letter of Administration OR Succession Certificate OR a Court Decree in English duly attested by Notary Public or Gazetted Officer;;
5. Duly notarised Affidavit executed on a non-judicial stamp paper of prescribed value as stated under:
 - Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100 (**for only transmission of shares**) by the legal heir, that is, applicant, declaring right in the shares;
 - Duly notarised Affidavit on a non-judicial stamp paper of Rs. 200 (**for transmission and duplication of shares**) by the legal heir, that is, applicant, declaring right in the shares;
6. Duly notarised Indemnity Bond executed on a non-judicial stamp paper of prescribed value as stated under:
 - Duly notarised Indemnity Bond on a non-judicial stamp paper of Rs. 500/- for Maharashtra State and Rs. 300/- for States other than Maharashtra (**for only Transmission**) binding yourself and your heirs, assignees, etc. to indemnify the Company in the event of the Company having to face any proceedings or incur some loss, etc.;
 - Duly notarised Indemnity Bond on a non-judicial stamp paper of Rs. 600/- for Maharashtra State and Rs. 400/- for States other than Maharashtra (**for transmission and duplication of shares**) by the legal heir, that is, applicant, declaring right in the shares;

7. No Objection Certificate (NOC) on a non-judicial stamp paper of Rs. 200/-, from the other legal heirs who do not object to such transmission or a copy of Family Settlement Deed duly notarised or attested by the Bank Manager of the Bank with whom the bank account is maintained or Notary Public or a Gazetted Officer and executed by all the legal heirs of the deceased shareholder;
8. In case Original Share Certificates are lost or misplaced, following additional documents are to be provided:
 - a copy FIR/ acknowledged copy of Police complaint lodged with the nearest police station for loss of shares;
 - wherein the value of lost/ misplaced share certificates exceeds Rs 20000/- as per the current market price on the BSE website or the applicant doesn't submit a copy of FIR/ acknowledged copy of Police complaint lodged with the nearest Police station for loss of shares, a copy of advertisement/ public notice issued in the national daily and local newspaper, cost of which is to be borne by the applicant;
[In case the value of lost/ misplaced shares is less than Rs 20000/- as per the current market price on the BSE website, the publication of the notice shall be at the option of the applicant];
 - In accordance with Clause 3(i) of the Articles of Association of the Company, the applicant is required to deposit Rs. 20 with the Company for every new certificate issued by the Company in lieu of any share certificate which has been worn out, defaced, mutilated or torn or if there be no further space on the back for endorsement of transfer, lost or destroyed.;
9. Bank verification form for attestation of signature of the applicant(s) duly attested by the Bank official with Bank's Official Rubber Stamp giving full Address of the Bank and the Employee Code number of the official signing the form;
10. A self-attested copy of PAN Card of applicant (For Sikkim residents, copy of PAN Card may be substituted with ID proofs along with address proof);
11. a self-attested copy of the address proof of the applicant(s);
12. Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100 where the signature of the seller(s) or the buyer(s) differs from that affixed in the PAN card.

Attestation by the Bank Manager should bear the name, official stamp along with the full address of the Bank. Attestation by the Notary Public/ Gazetted officer should mention their name, full address, registration number and affix their seal.

DOCUMENTS FOR TRANSMISSION OR TRANSMISSION & DUPLICATION OF SHARES OF VALUE ABOVE INR 200000/- HELD IN A SINGLE NAME WITHOUT NOMINEE

1. Duly signed application to the Company by the person in whose favour shares has to be transmitted with full name and address;
2. Original or copy of Death Certificate of the deceased member duly attested by a Notary Public or by a Gazetted Officer;
3. Original Share Certificates;
4. Probate of Will OR Letter of Administration OR Succession Certificate in English duly attested by Notary Public or Gazetted Officer;
5. Duly notarised Affidavit executed on a non-judicial stamp paper of prescribed value as stated under:
 - Duly notarised Affidavit on a non-judicial stamp paper of Rs. 150 (**for only transmission of shares**) by the legal heir, that is, applicant, declaring right in the shares;
 - Duly notarised Affidavit on a non-judicial stamp paper of Rs. 250 (**for transmission and duplication of shares**) by the legal heir, that is, applicant, declaring right in the shares;
6. Duly notarised Indemnity Bond executed on a non-judicial stamp paper of prescribed value as stated under:
 - Duly notarised Indemnity Bond on a non-judicial stamp paper of Rs. 600/- for Maharashtra State and Rs. 400/- for States other than Maharashtra (**for only Transmission only**) binding yourself and your heirs, assignees, etc. to indemnify the Company in the event of the Company having to face any proceedings or incur some loss, etc.;
 - Duly notarised Indemnity Bond on a non-judicial stamp paper of Rs. 700/- for Maharashtra State and Rs. 500/- for States other than Maharashtra (**for transmission and duplication of shares**) by the legal heir, that is, applicant, declaring right in the shares;
7. No Objection Certificate (NOC) on a non-judicial stamp paper of Rs. 200/-, from the other legal heirs, who do not object to such transmission or a copy of Family Settlement Deed duly notarised or attested by the Bank Manager of the Bank with whom the bank account is maintained or Notary Public or a Gazetted Officer and executed by all the legal heirs of the deceased shareholder;
8. In case Original Share Certificates are lost or misplaced, following additional documents are to be provided:
 - a copy FIR/ acknowledged copy of Police complaint lodged with the nearest police station for loss of shares;

- wherein the value of lost/ misplaced share certificates exceeds Rs 20000/- as per the current market price on the BSE website or doesn't submit a copy of FIR/ acknowledged copy of Police complaint lodged with the nearest Police station for loss of shares, a copy of advertisement/ public notice issued in the national daily and local newspaper, cost of which is to be borne by the applicant;

[In case the value of lost/ misplaced shares is less than Rs 20000/- as per the current market price on the BSE website, the publication of the notice shall be at the option of the applicant].

- In accordance with Clause 3(i) of the Articles of Association of the Company, the applicant is required to deposit Rs. 20 with the Company for every new certificate issued by the Company in lieu of any share certificate which has been worn out, defaced, mutilated or torn or if there be no further space on the back for endorsement of transfer, lost or destroyed;

9. Bank verification form for attestation of signature of the applicant(s) duly attested by the Bank official with Bank's Official Rubber Stamp giving full Address of the Bank and the Employee Code number of the official signing the form;
10. A self-attested copy of PAN Card of applicant (For Sikkim residents, copy of PAN Card may be substituted with ID proofs along with address proof);
11. a self-attested copy of the address proof of the applicant(s);
12. Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100 where the signature of the seller(s) or the buyer(s) differs from that affixed in the PAN card.

Attestation by the Bank Manager should bear the name, official stamp along with the full address of the Bank. Attestation by the Notary Public/ Gazetted officer should mention their name, full address, registration number and affix their seal.

DOCUMENTS REQUIRED FOR THE ISSUE OF DUPLICATE SHARE CERTIFICATES

1. an application with full name and address for issue of duplicate share certificates stating folio number;
2. Duly notarised Indemnity Bond on a non-judicial stamp paper of Rs. 500/- for Maharashtra State and Rs. 300/- for States other than Maharashtra;
3. Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100;
4. a copy FIR/ acknowledged copy of Police complaint lodged with the nearest police station for loss of shares;

5. wherein the value of lost/ misplaced share certificates exceeds Rs 20000/- as per the current market price on the BSE website or doesn't submit a copy of FIR/ acknowledged copy of Police complaint lodged with the nearest Police station for loss of shares, a copy of advertisement/ public notice issued in the national daily and local newspaper, cost of which is to be borne by the applicant;

[In case the value of lost/ misplaced shares is less than Rs 20000/- as per the current market price on the BSE website, the publication of the notice shall be at the option of the applicant]

6. In accordance with Clause 3(i) of the Articles of Association of the Company, the applicant is required to deposit Rs. 20 with the Company for every new certificate issued by the Company in lieu of any share certificate which has been worn out, defaced, mutilated or torn or if there be no further space on the back for endorsement of transfer, lost or destroyed;
7. Bank verification form for attestation of signature of the applicant(s) duly attested by the Bank official with Bank's Official Rubber Stamp giving full Address of the Bank and the Employee Code number of the official signing the form;
8. a self -attested copy of PAN card of the persons to whom Duplicate Share Certificates are to be issued. (For Sikkim residents, copy of PAN Card may be substituted with ID proofs along with address proof);
9. a self-attested copy of the address proof of the applicant(s);
10. Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100 where the signature of the seller(s) or the buyer(s) differs from that affixed in the PAN card.

Attestation by the Bank Manager should bear the name, official stamp along with the full address of the Bank. Attestation by the Notary Public/ Gazetted officer should mention their name, full address, registration number and affix their seal.

DOCUMENTS FOR CHANGE/CORRECTION IN NAME OF A SHAREHOLDER:

1. an application for change/ correction in name with full name and address stating folio number, reasons for change in name and full details of both- new & old name;
2. Original Share Certificates;
3. Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100;
4. Bank verification form for attestation of signature of the applicant(s) duly attested by the Bank official with Bank's Official Rubber Stamp giving full Address of the Bank and the Employee Code number of the official signing the form;

5. True copies of other supporting documents viz copy of marriage certificate or divorce decree or birth certificate/ school certificate or State Government gazette, etc. as the case may be;
 - For change in name of enterprise viz firm/ company, attested copy of a partnership deed or Certificate of Incorporation consequent to change of name
6. self-attested copy of PAN Card (For Sikkim residents, copy of PAN Card may be substituted with ID proofs along with address proof);
7. a self-attested copy of the address proof of the applicant(s);
8. Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100 where the signature of the seller(s) or the buyer(s) differs from that affixed in the PAN card.

Attestation by the Bank Manager should bear the name, official stamp along with the full address of the Bank. Attestation by the Notary Public/ Gazetted officer should mention their name, full address, registration number and affix their seal.

DOCUMENTS FOR CHANGE IN SIGNATURE OF A SHAREHOLDER:

1. an application for change in signature with full name and address stating folio number;
2. Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100;
3. Bank verification form for attestation of the signature by the Bank manager of the Bank in which the shareholder has a bank account;
4. self-attested copy of PAN Card (For Sikkim residents, copy of PAN Card may be substituted with ID proofs along with address proof).

Attestation by the Bank Manager should bear the name, official stamp along with the full address of the Bank. Attestation by the Notary Public/ Gazetted officer should mention their name, full address, registration number and affix their seal.

DOCUMENTS FOR CHANGE IN ADDRESS OF A SHAREHOLDER

1. an application for change in address with full name and address stating folio number and full details of both- new & old address;
2. other supporting documents viz, Driving License, Bank Statement attested by Bank of not more than 3 months old, etc.;

3. Bank verification form for attestation of signature of the applicant(s) duly attested by the Bank official with Bank's Official Rubber Stamp giving full Address of the Bank and the Employee Code number of the official signing the form;
4. self-attested copy of PAN Card (For Sikkim residents, copy of PAN Card may be substituted with ID proofs along with address proof);
5. Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100 where the signature of the seller(s) or the buyer(s) differs from that affixed in the PAN card.

Attestation by the Bank Manager should bear the name, official stamp along with the full address of the Bank. Attestation by the Notary Public/ Gazetted officer should mention their name, full address, registration number and affix their seal.

APPOINTMENT OF A NOMINEE AND CHANGES THEREOF

1. A shareholder holding securities in physical form may nominate a person by filing Form SH-13 and registering the same with the Company or Link Intime India Pvt Limited;
2. The shareholder may appoint another person replacing the earlier person appointed as nominee by filing Form SH-14 and registering the same with the Company or Link Intime India Pvt Limited;
3. Bank verification form for attestation of signature of the applicant(s) duly attested by the Bank official with Bank's Official Rubber Stamp giving full Address of the Bank and the Employee Code number of the official signing the form;
4. self-attested copy of PAN Card (For Sikkim residents, copy of PAN Card may be substituted with ID proofs along with address proof);
5. Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100 where the signature of the seller(s) or the buyer(s) differs from that affixed in the PAN card.

Attestation by the Bank Manager should bear the name, official stamp along with the full address of the Bank. Attestation by the Notary Public/ Gazetted officer should mention their name, full address, registration number and affix their seal.

DELETION OF NAME OF A DECEASED JOINT SHAREHOLDER

1. an application for deletion of a Deceased Joint shareholder of shares of the Company, with full name and address stating folio number signed by survivors;
2. Original Share Certificates;
3. a copy of death certificate of the deceased attested by a Notary Public or by a Gazetted Officer.
4. Bank verification form for attestation of signature of the applicant(s) duly attested by the Bank official with Bank's Official Rubber Stamp giving full Address of the Bank and the Employee Code number of the official signing the form;
5. self-attested copy of PAN Card (For Sikkim residents, copy of PAN Card may be substituted with ID proofs along with address proof);
6. a self-attested copy of the address proof of the applicant(s);
7. Duly notarised Affidavit on a non-judicial stamp paper of Rs. 100 where the signature of the seller(s) or the buyer(s) differs from that affixed in the PAN card.

Attestation by the Bank Manager should bear the name, official stamp along with the full address of the Bank. Attestation by the Notary Public/ Gazetted officer should mention their name, full address, registration number and affix their seal.

Note:

- The aforesaid documents are to be sent either of following addresses:

Company's address

The Company Secretary
HLE Glascoat Limited
(formerly known as Swiss Glascoat
Equipments Limited)
H-106, GIDC Estate, GIDC,
Vitthal Udyognagar - 388 121

Link Intime India Pvt Limited

The Registrar & Share Transfer Agent of the
Company

B-102 & 103, Shangrila Complex, First Floor
Opp. HDFC Bank, Nr. Radhakrishna Char Rasta
Akota, Vadodara - 390 020

- The Details including contact information is also provided under head "Compliance Officer & Share Transfer" in this Investors Guide section.
- **Shareholders with securities held in electronic form are requested to contact your depository participant**